

Customer Number 37535

Docket No. 3239-01  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Brian B. Filippini et al.  
Serial No.: 10/555,477  
Filed: September 13, 2006  
For: Emulsifiers for Multiple Emulsions

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Date of Facsimile Transmission: January 31, 2008

  
Mary Ellen Stoll

**LETTER OF TRANSMITTAL**

The following documents are being transmitted:

Request for Corrected Filing Receipt - 2 pages  
Page 1 of Filing Receipt mailed on April 11, 2007 - 1 page  
Exhibit 1 (copy of Supplemental Amendment) - 2 pages  
Certificate of Facsimile Transmission Under 37 CFR 1.8 - 1 page

**TOTAL NUMBER OF PAGES BEING TRANSMITTED: 6 pages.**

Respectfully submitted,

  
Mary Ellen Stoll

Lubrizol Advanced Materials, Inc.  
9911 Brecksville Road  
Cleveland, Ohio 44141-3247  
216-447-5918  
Date: January 31, 2008

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Mary Ellen Stoll

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This is a request for issuance of a corrected filing receipt to correct errors set forth on the filing receipt mailed to applicant on April 11, 2007.

1) Under the heading "Domestic Priority Data as Claimed by Applicant" the domestic priority claim is in error. The PCT application number and the filing date thereof are incorrect. The domestic priority claim should correctly read:

"This application is a U.S. National Phase application filed under 35 U.S.C. § 371 of PCT Application No. PCT/US2004/014336, filed May 7, 2004, which claims priority under 35 U.S.C. § 119(e) from U.S. Provisional Application Ser. No. 60/468,439, filed May 7, 2003."

It is noted that reference to the priority claim under U.S.C. § 119(e) to U.S. Provisional Application Ser. No. 60/468,439 is contained in the first sentence following

Request for Corrected Filing Receipt  
January 31, 2008  
Page 2

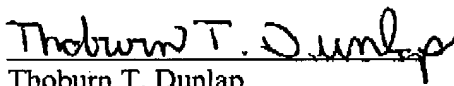
the title in parent PCT Application No. PCT/US2004/014336. However, there is no reference to such in the present application. Accordingly, by Supplemental Preliminary Amendment filed concurrently herewith, the present National Phase Application, U.S. Serial No. 10/555,477 filed on September 9, 2006 under 35 U.S.C. § 371, is amended to contain the full chain of priority application claims as set forth above under the correction request. A copy of the Supplemental Preliminary Amendment is transmitted herewith as Exhibit 1.

2) An error also occurs under the heading "Foreign Applications" wherein the designation "United States of America 10/731262 04/06/2007" appears. This information is not related to the captioned application and should be deleted.

If a fee is necessary, the Commissioner is authorized to deduct the requisite fee from Deposit Account No. 50-1501.

Respectfully Submitted,

Lubrizol Advanced Materials, Inc.  
9911 Brecksville Road  
Cleveland, Ohio 44141-3247

  
Thoburn T. Dunlap  
Agent for Applicants  
Reg. No. 31,246

216-447-5918

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| APPL NO.   | FILING OR 371(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | TOT CLMS | IND CLMS |
|------------|-----------------------|----------|---------------|-----------------|----------|----------|
| 10/555,477 | 09/13/2006            | 3937     | 730           | 3239-01         | 10       | 2        |

CONFIRMATION NO. 4244

Teresan W Gilbert  
The Lubrizol Corporation  
Patent Administrator Mail Drop 022B  
29400 Lakeland Blvd  
Wickliffe, OH 44092-2298

## FILING RECEIPT



\*OC000000023288004\*

Date Mailed: 04/11/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Brian B. Filippini, Mentor-on-the-Lake, OH;  
Nai Z. Huang, Highland Hts, OH;  
Jeffrey M. Carey, Mentor, OH;  
John J. Mullay, Mentor, OH;

REC'D APR 17 2007

## Power of Attorney:

Michael Esposito-29506  
Teresan Gilbert-31360  
Samuel Laferty-31537  
David Shold-31664  
Jason Fokens-56188

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/10904 04/09/2004 \*  
(\*)Data provided by applicant is not consistent with PTO records.

## Foreign Applications

UNITED STATES OF AMERICA 10/731262 12/05/2003

If Required, Foreign Filing License Granted: 04/06/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/555,477**

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EXHIBIT 1

Docket No. 3239-01  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**Certificate of Electronic Filing**

I hereby certify that this correspondence is being electronically filed at the United States Patent and Trademark Office on January 31, 2008.

  
\_\_\_\_\_  
Mary Ellen Stoll

**SUPPLEMENTAL AMENDMENT**

Sir:

Please amend the captioned application as set forth below.

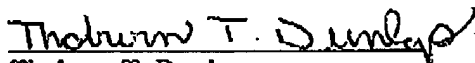
Supplemental Amendment  
January 31, 2008  
Page 2

**IN THE SPECIFICATION:**

Please replace the first paragraph after the title with the following paragraph.

This application is a U.S. National Phase application filed under 35 U.S.C. § 371 of PCT Application No. PCT/US2004/014336, filed May 7, 2004, which claims priority under 35 U.S.C. § 119(e) from U.S. Provisional Application Ser. No. 60/468,439, filed May 7, 2003.

Respectfully Submitted,

  
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TTD 3239-01 US supplemental amendment